

SERIAL NO.

10/638,846

(Use several sheets if necessary)

James F. McGuckin, Jr., et al

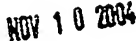
GROUP Art Unit:
3672

| EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--|--------------------|----------|-------------------|-------|----------|-------------------------------|
| JMS | | 4 5 1 2 3 3 8 | 4/23/85 | Balko, et al | | | |
| | | 4 9 9 4 0 6 9 | 2/19/91 | Ritchart, et al | | | |
| | | 5 6 8 1 3 4 7 | 10/28/97 | Cathcart, et al | | | |
| | | 5 6 8 3 4 1 1 | 11/4/97 | Kavteladze, et al | | | |
| | | 5 7 2 5 5 5 2 | 3/10/98 | Kotula, et al | | | |
| | | 5 7 5 5 7 9 0 | 5/26/98 | Chevillon, et al | | | |
| | | 5 7 9 5 3 2 2 | 8/18/98 | Boudewijn | | | |
| | | 5 8 1 0 8 7 4 | 9/22/98 | Lefebvre | | | |
| | | 6 3 4 4 0 4 1 | 2/5/02 | Kupiecki, et al | | | |
| | | 6 4 0 2 7 7 1 | 6/11/02 | Palmer, et al | | | |
| JMS | | 6 4 3 6 1 2 1 | 8/20/02 | Blom | | | |

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DATE CONSIDERED

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GROUP Art Unit:
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|----------------------|--|--------------------|----------|--------------------|-------|----------|-------------------------------|
| JES | | 6 4 4 3 9 7 1 | 9/3/02 | Boylan, et al | | | |
| | | 6 4 4 3 9 7 2 | 9/3/02 | Bosma, et al | | | |
| | | 6 4 4 7 5 3 0 | 9/10/02 | Ostrovsky, et al | | | |
| | | 6 4 6 8 2 9 0 | 10/22/02 | Weldon, et al | | | |
| | | 6 4 8 2 2 2 2 | 11/19/02 | Bruckheimer, et al | | | |
| | | 6 5 1 7 5 5 9 | 2/11/03 | O'Connell | | | |
| | | 6 5 2 7 9 6 2 | 3/04/03 | Nadal | | | |
| | | 6 5 3 7 2 9 4 | 3/25/03 | Boyle, et al | | | |
| Dm | | 6 5 4 0 7 6 7 | 4/1/03 | Walak, et al | | | |
| | | 6 5 5 1 3 4 2 | 4/22/03 | Shen, et al | | | |
| | | 6 5 6 2 0 5 8 | 5/13/03 | Sequin, et al | | | |

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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. 1224 CON | SERIAL NO. 10/638,846 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | |
|  | APPLICANT James F. McGuckin, Jr., et al | |
| | FILING DATE August 11, 2003 | GROUP Art Unit: 3672 |

U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|---------|--------------|-------|----------|-------------------------------|
| <i>pub</i> | 2002 0 0 5 8 9 1 1 | 5/16/02 | Gilson et al | | | |
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FOREIGN PATENT DOCUMENTS

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| | | | | | | | | | | | | | YES | NO |
| pub | WO 95 | 0 | 9 | 5 | 6 | 7 | | | 4/13/95 | | | | | |
| | WO 99 | 2 | 5 | 2 | 5 | 2 | | | 5/27/99 | | | | | |
| | WO 01 | 4 | 5 | 5 | 9 | 0 | | | 6/28/01 | | | | | |
| pub | WO 01 | 7 | 2 | 2 | 3 | 9 | | | 10/4/01 | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER <i>James R. Butler</i> | DATE CONSIDERED <i>9/2/02</i> |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

Form PTO-1449 U.S. DEPARTMENT OF
COMMERCE PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

ATTY. DOCKET NO.

1224 CON

SERIAL NO.

10/638.846

(See several sheets if necessary)

APPLICANT
James F. McGuckin, Jr., et alFILING DATE
August 11, 2003GROUP Art Unit:
3672

U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|----------|----------------|-------|----------|----------------------------------|
| gls | 6 3 3 1 1 8 4 | 12/18/01 | Abrams | | | |
| | 6 3 4 2 0 6 3 | 1/29/02 | Devries et al | | | |
| | 6 6 5 2 5 5 8 | 11/25/03 | Patel et al | | | |
| | 6 6 6 0 0 2 1 | 12/09/03 | Palmer et al | | | |
| 2002 | 0 1 1 6 0 2 4 | 08/22/02 | Goldberg et al | | | |
| 2003 | 0 2 0 8 2 2 7 | 11/06/03 | Thomas | | | |
| gls | 2003 0 2 0 8 2 5 3 | 11/06/03 | Beyer, et al | | | |
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FOREIGN PATENT DOCUMENTS

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PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

James F. McGuckin, Jr., et al

FILING DATE

August 11, 2003

GROUP Art Unit:

3731

U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|----------|-------------------|-------|----------|----------------------------------|
| JES | 4 4 9 4 5 3 1 | 01/1985 | Gianturco | | | |
| | 4 9 9 0 1 5 6 | 02/1991 | Lefebvre | | | |
| | 5 1 3 3 7 3 3 | 07/1992 | Rasmussen, et al | | | |
| | 5 2 3 4 4 5 8 | 08/1993 | Metais | | | |
| | 5 3 0 0 0 8 6 | 04/1994 | Gory, et al | | | |
| | 5 3 4 4 4 2 7 | 09/1994 | Cottenceau, et al | | | |
| | 5 3 7 0 6 5 7 | 12/1994 | Irie | | | |
| | 5 3 7 5 6 1 2 | 12/1994 | Cottenceau, et al | | | |
| | 5 3 8 2 2 6 1 | 01/1995 | Palmaz | | | |
| | 5 3 8 3 8 8 7 | 01/1995 | Nadal | | | |
| | 5 4 0 5 3 7 7 | 04/1995 | Cragg | | | |
| | 5 5 9 1 1 9 7 | 01/1997 | Orth, et al | | | |
| MVS | 5 6 3 4 9 4 2 | 06/03/97 | Chevillon, et al | | | |
| | 5 6 0 1 5 9 5 | 02/1997 | Smith | | | |

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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APPLICANT
James F. McGuckin, Jr., et al.FILING DATE
August 11, 2003GROUP Art Unit:
3731

U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|----------|------------------|-------|----------|----------------------------------|
| <i>pub</i> | 5 6 9 0 6 7 1 | 11/1997 | McGurk, et al | | | |
| | 5 7 3 3 3 2 9 | 03/1998 | Wallace, et al | | | |
| | 5 7 5 5 7 7 9 | 05/1998 | Horiguchi | | | |
| | 5 8 5 3 4 2 0 | 12/1998 | Chevillon, et al | | | |
| | 5 8 9 5 4 1 0 | 04/1999 | Forber, et al | | | |
| | 5 9 1 1 7 1 7 | 06/1999 | Jacobsen, et al | | | |
| | 5 9 7 6 1 7 2 | 11/02/99 | Homsmo, et al | | | |
| | 6 0 4 2 5 9 8 | 03/2000 | Tsugita, et al | | | |
| | 6 0 6 6 1 5 8 | 05/2000 | Engelson, et al | | | |
| | 6 0 9 3 1 9 9 | 07/2000 | Brown, et al | | | |
| <i>pub</i> | 6 0 9 6 0 5 2 | 08/2000 | Callister, et al | | | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
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EXAMINER

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*James E. Butler**9/2/05*

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| APPLICANT James F. McGuckin, Jr., et al | | |
| FILING DATE August 11, 2003 | | GROUP Art Unit: 3731 |



U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|----------|-------------------------|-------|----------|----------------------------------|
| JB | 3 9 5 2 7 4 7 | 04/27/76 | Kimmell, Jr. | | | |
| | 4 4 2 5 9 0 8 | 01/17/84 | Simon | | | |
| | 4 6 1 9 2 4 6 | 10/28/86 | Molgaard-Nielsen, et al | | | |
| | 4 6 8 8 5 5 3 | 08/25/87 | Metals | | | |
| | 4 7 2 7 8 7 3 | 03/01/88 | Mobin-Uddin | | | |
| | 4 7 8 1 1 7 7 | 11/01/88 | Lebigot | | | |
| | 4 7 9 3 3 4 8 | 12/27/88 | Palmaz | | | |
| | 4 8 1 7 6 0 0 | 04/04/89 | Hermes, et al | | | |
| | 4 8 3 2 0 5 5 | 05/23/89 | Palestrant | | | |
| | 4 9 5 7 5 0 1 | 09/18/90 | Lahille, et al | | | |
| JB | 5 0 5 9 2 0 5 | 10/22/91 | El-Nounou, et al | | | |
| | 5 1 5 2 7 7 7 | 10/06/92 | Goldberg, et al | | | |

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TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|---------------|--|
| JB 1 JB | B. Braun Medical, Inc, Vena Tech™ Vena Cava Filters, 2/00. |
| | Gianturco-Roehm, Bird's Nest® Vena Cava Filter |
| | Cordis Corporation, TrapEase™ Permanent Vena Cava Filter, "A Small, Easy and Verstaile System for Optimal Pulmonary Emboli Prevention", 2000 (4 pages) |
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|---|---------------------------|
| EXAMINER Joan R Butler | DATE CONSIDERED 9/2/05 |
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Form PTO-1449 U.S. DEPARTMENT OF
COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

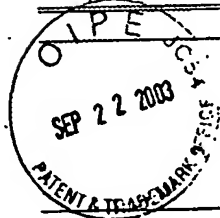
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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APPLICANT

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GROUP Art Unit:

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U.S. PATENT DOCUMENT

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|----------------------|--------------------|----------|-----------------|-------|----------|----------------------------------|
| <i>MB</i> | 5 3 2 4 3 0 4 | 06/28/94 | Rasmussen | | | |
| | 5 3 5 0 3 9 8 | 09/27/94 | Pavcnik, et al | | | |
| | 5 5 3 1 7 8 8 | 07/02/96 | Dibie, et al | | | |
| | 5 6 2 6 6 0 5 | 05/06/97 | Irie, et al | | | |
| | 5 7 0 9 7 0 4 | 01/20/98 | Nott, et al | | | |
| | 5 7 4 6 7 6 7 | 05/05/98 | Smith | | | |
| | 5 7 7 6 1 6 2 | 07/07/98 | Kleshinski | | | |
| | 5 8 3 6 9 6 8 | 11/17/98 | Simon, et al | | | |
| | 5 8 9 3 8 6 9 | 04/13/99 | Barnhart, et al | | | |
| <i>MB</i> | 5 8 9 5 3 9 8 | 04/20/99 | Wensel, et al | | | |

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FOREIGN PATENT DOCUMENTS

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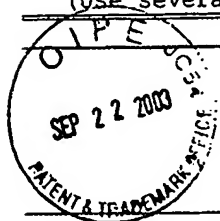
EXAMINER

DATE CONSIDERED

*James E. Burke**9/2/05*

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| FILING DATE August 11, 2003 | | GROUP Art Unit: 3731 |



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|----------------------|--------------------|----------|--------------------|-------|----------|----------------------------------|
| JLB | 5 9 6 8 0 7 1 | 10/19/99 | Chevillon, et al | | | |
| | 5 9 8 4 9 4 7 | 11/16/99 | Smith | | | |
| | 6 0 0 7 5 5 8 | 12/28/99 | Ravenscroft, et al | | | |
| | 6 0 1 3 0 9 3 | 01/11/00 | Nott, et al | | | |
| | 6 0 5 9 8 2 5 | 05/09/00 | Hobbs, et al | | | |
| | 6 0 6 3 1 1 3 | 05/16/00 | Kavteladze, et al | | | |
| | 6 0 8 0 1 7 8 | 06/27/00 | Meglin | | | |
| | 6 0 9 9 5 4 9 | 08/08/00 | Bosma, et al | | | |
| | 6 1 2 6 6 7 3 | 10/03/00 | Kim, et al | | | |
| | 6 1 4 6 4 0 4 | 11/14/00 | Kim, et al | | | |
| JLB | 6 1 6 5 1 7 9 | 12/26/00 | Cathcart, et al | | | |

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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

John E. Baker

DATE CONSIDERED

9/2/05

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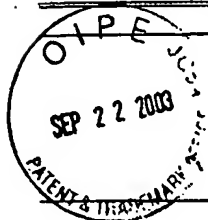
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|----------------------|--------------------|----------|------------------|-------|----------|----------------------------------|
| JAB | 6 1 6 5 1 9 8 | 12/26/00 | McGurk, et al | | | |
| | 6 1 6 8 5 7 9 | 01/02/01 | Tsuqita | | | |
| | 6 1 7 1 3 2 7 | 01/09/01 | Daniel, et al | | | |
| | 6 1 7 9 8 5 1 | 01/30/01 | Barbut, et al | | | |
| | 6 1 8 7 0 2 5 | 02/13/01 | Machek | | | |
| | 6 1 9 3 7 3 9 | 02/27/01 | Chevillon, et al | | | |
| | 6 2 1 4 0 2 5 | 04/10/01 | Thistle, et al | | | |
| | 6 2 1 7 6 0 0 | 04/17/01 | DiMatteo | | | |
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